

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
19339-105236

In Re Application Of:

Smith et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
<b>10/580928</b>	HEREWITH		28886		

Title:

**VEHICLE WINDOW REGULATOR HAVING A FLOATING WINDOW CARRIER**

Address to:  
**Commissioner for Patents**  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

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VEHICLE WINDOW REGULATOR HAVING A FLOATING WINDOW CARRIER

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. 50-1759 as described below.
  - Charge the amount of \_\_\_\_\_
  - Credit any overpayment.
  - Charge any additional fee required.
- Payment by credit card. Form PTO-2038 is attached.

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

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(Date)

\_\_\_\_\_  
*Signature of Person Mailing Correspondence*

\_\_\_\_\_  
*Typed or Printed Name of Person Mailing Certificate*

Dated: *5/26/04*

Robin W. Asher, Reg. No. 41,590  
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CC:

**10/580928**

**iAP20 Rec'd PCT/PTO 30 MAY 2006**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Group Art Unit:**

**Applicant:** **Smith et al.**

**Serial No:**

**Filing Date:** **Herewith**

**Title:** **Vehicle Window Regulator Having a Floating Window Carrier**

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This statement and Form PTO-1449 are submitted pursuant to the provisions of 37 CFR 1.97 and 1.98(a) as a means of complying with the requirements of 37 CFR 1.56 with respect to the above-captioned patent application.

If the Examiner has any questions regarding this Information Disclosure Statement or patent application, the Examiner is invited to contact the undersigned.

Respectfully submitted,

By:



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Dated: 5/26/06

Attorney Docket No: 19339-105236

**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

Docket Number (Optional)

19339-105236

Application Number

10/580928

Applicant(s)

Smith et al.

Filing Date

JAP20050510

Group Art Unit

HEREWITH

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,427,385	8/6/2002	FIN			
		5,309,677	5/10/1994	KUNERT ET AL.			
		5,012,613	5/7/1991	SEKINE			
		4,575,967	5/18/1986	BICKERSTAFF			
		2,947,535	8/2/1960	CROSBY			

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	FR 1294605	5/26/1962	FRANCE				✓
	EP 1455043	9/8/2004	EPO				
	CA 2430023	11/30/2003					

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		INTERNATIONAL SEARCH REPORT FOR PCT/CA2004/002075 DATED 21 MARCH 2005. INTERNATIONAL PRELIMINARY EXAMINATION REPORT FOR PCT/CA2004/002075 DATED 10 MARCH 2006.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.